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AROUND THE GYSIN TRIANGLE I

FRÉDÉRIC DÉGLISE

ABSTRACT. We define and study Gysin morphisms on mixed motives over a perfect field. Our construction extends the case of closed immersions, already known from results of Voevodsky, to arbitrary projective morphisms. We prove several classical formulas in this context, such as the projection and excess intersection formulas, and some more original ones involving residues. We give an application of this construction to duality and motive with compact support.

INTRODUCTION

Since Poincaré discovers the first instance of duality in singular homology, mathematicians slowly became aware that most of cohomology theories could be equipped with an exceptional functoriality, covariant, usually referred to as either transfer, trace or more recently Gysin morphism¹. In homology, this kind of exceptional functoriality exists accordingly. The most famous case is the pullback on Chow groups. Motives of Voevodsky are homological: they are naturally covariant. As they modeled homology theory, they should be equipped with an exceptional functoriality, contravariant. This is what we primarily prove here for smooth schemes over a field. Further, we focus on the two fundamental properties of Gysin morphisms: their functorial nature and their compatibility with the natural functoriality, corresponding to various projection formulas. The reader can already guess the intimate relationship of this theory with the classical intersection theory.

The predecessor of our construction was to be found in the Gysin triangle defined by Voevodsky² for motives over a perfect field k : associated with a closed immersion $i : Z \rightarrow X$ between smooth k -schemes, Voevodsky constructs a distinguished triangle of mixed motives:

$$M(X - Z) \rightarrow M(X) \xrightarrow{i^*} M(Z)(n)[2n] \xrightarrow{\partial_{X,Z}} M(X - Z)[1].$$

The arrow labelled i^* is the Gysin morphism associated with the closed immersion i . Because this triangle corresponds to the so-called localization long exact sequence in cohomology, fundamental in Chow and higher Chow theory, it has a central position in the theory of mixed motives. In [Dég04] and [Dég08b], we studied its naturality, which corresponds to the projection formulas mentioned in the first paragraph, for the Gysin morphism i^* . Interestingly, we discovered that these formulas had counterpart for the *residue morphism* $\partial_{X,Z}$ appearing in the Gysin triangle³. The main technical result of this article (see Theorem 1.34) is the functoriality property

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¹ The term *transfer* is more frequently used for finite morphisms, *trace* for structural morphisms of projective smooth schemes over a field, and *Gysin morphisms* for the zero section of a vector bundle, usually understood as a part of the Gysin long exact sequence.

²See [FSV00, chap. 5, Prop. 3.5.4].

³The reader is referred to section 1.3 for a summary of these results.

of the Gysin morphism i^* . But, as in the case of projection formulas, this comes with new formulas for the residue morphism. Let us quote it now:

Theorem. *Let X be a smooth k -scheme, Y (resp. Y') be a smooth closed subscheme of X of pure codimension n (resp. m). Assume the reduced scheme Z associated with $Y \cap Y'$ is smooth of pure codimension d . Put $Y_0 = Y - Z$, $Y'_0 = Y' - Z$, $X_0 = X - Y \cup Y'$.*

Then the following diagram, with i, j, k, l, i' the evident closed immersions, is commutative :

$$\begin{array}{ccccc}
 M(X) & \xrightarrow{j^*} & M(Y')(m)[2m] & \xrightarrow{\partial_{X,Y'}} & M(X - Y')[1] \\
 i^* \downarrow & & \downarrow k^* & & \downarrow (i')^* \\
 M(Y)(n)[2n] & \xrightarrow{l^*} & M(Z)(d)[2d] & \xrightarrow{\partial_{Y,Z}} & M(Y_0)(n)[2n+1] \\
 & & \downarrow \partial_{Y',Z} & & \downarrow \partial_{X_0,Y_0} \\
 & & M(Y'_0)(m)[2m+1] & \xrightarrow{-\partial_{X_0,Y'_0}} & M(X_0)[2].
 \end{array}
 \quad \begin{array}{c} (1) \\ (2) \\ (3) \end{array}$$

This theorem can be understood as follows: the commutativity of square (1) in fact gives the functoriality of the Gysin morphism (take $Y' = Z$) ; the commutativity of square (2) shows the Gysin triangle is functorial with respect to the Gysin morphism of a closed immersion. Finally the commutativity of square (3) reveals the differential nature of the residue morphism: it can be seen as an analogue of the change of variable theorem for computing the residue of differential forms.⁴

More generally, our Gysin morphism is associated with any morphism between smooth k -schemes. We go from the case of closed immersions to that of projective morphisms by a nowadays classical method⁵. Using the projective bundle formulas for motives, one easily defines the Gysin morphism for the projection of a projective bundle. As any projective morphism f can be factored as closed immersion i followed by the projection of a projective bundle p , we can put: $f^* = p^*i^*$. The key point is to show this definition is independant of the factorisation. Taking into account the theorem cited above, this reduces to prove that for any section s of a the projection p , the following relation holds: $p^*s^* = 1$. When the definition is correctly settled, the main properties of the general Gysin morphism follows from the particular case of closed immersions. Let us summarize them for the reader:

- functorial nature (Prop. 2.9),
- projection formula in the transversal case (Prop. 2.10),
- excess intersection formula (Prop. 2.12),
- naturality of the Gysin triangle with respect to Gysin morphisms (Prop. 2.13).

To end this description of the motivic Gysin morphism, we come back to the point of view at the beginning of the introduction. It was told that the existence of this exceptional functoriality was a consequence of Poincaré duality. In the end of this work, we go on the reverse side: Poincaré duality is a consequence of the existence of the Gysin morphism⁶. In fact, we use the tensor structure on the category of mixed motives and construct duality pairings for a smooth projective k -scheme X of dimension n . Let $p : X \rightarrow \text{Spec}(k)$ (resp. $\delta : X \rightarrow X \times_k X$) be

⁴In fact, one can show that the residue morphisms of motives induces the usual residue on differential forms via De Rham realization.

⁵A model for us was the pullback on Chow groups as defined by Fulton in [Ful98].

⁶Though stated in a different language, this was already observed and used in [SGA4, XVIII].

the canonical projection (resp. diagonal embedding) of X/k . We obtain duality pairings (cf Theorem 2.18)

$$\begin{aligned}\eta : \mathbb{Z} &\xrightarrow{p^*} M(X)(-n)[-2n] \xrightarrow{\delta_*} M(X)(-n)[-2n] \otimes M(X) \\ \epsilon : M(X) \otimes M(X)(-n)[-2n] &\xrightarrow{\delta^*} M(X) \xrightarrow{p_*} \mathbb{Z}.\end{aligned}$$

which makes $M(X)(-n)[-2n]$ a *strong dual* of $M(X)$ in the sense of Dold-Puppe (see Paragr. 2.16 for recall on this notion). This result implies the usual formulation of Poincaré duality: the motivic cohomology of X is isomorphic to its motivic homology via cap-product with a homological class, the *fundamental class of X/k* . But this duality result holds more universally: any motive defines both a cohomology and a homology ; the previous duality statement is valid in this generalized setting.

The morality of this result is that the existence of the Gysin morphism is essentially equivalent to Poincaré duality when one restricts to projective smooth schemes over k (we left the precise statement to the reader).

Brief description of the organization of the paper. Section 1 is concerned with the Gysin triangle associated with a closed immersion. Sections 1.1, 1.2 and 1.3 contains reminders on the articles [Dég04] and [Dég08b], concerning both the definitions and the results. Section 1.4 contains the proof of the main theorem of this paper, as stated above.

In section 2, we develop the general Gysin morphism: section 2.1 contains essentially the proof that the definition explained above is independant of the choice of the factorization, section 2.2 states and proves the properties listed above. In the end of section 2.2, we also relate our Gysin morphism in the case of finite étale covers with the transfers one gets using the theory of finite correspondences (see Prop. 2.15). Section 2.3 explores duality as explained above, and shows how one can deduce a natural construction of a motive with compact support.

Further background and references. Gysin morphisms for motives were already constructed by M. Levine within his framework of mixed motives in [Lev98].⁷ The treatment of Levine has common feature with ours. In comparison, our principal contribution consists in the formula involving residues, together with the excess intersection formula. The construction of Gysin morphism on cohomology – which follows from its existence on motives through realization – was also treated directly by Panin in the setting of oriented cohomologies. In his setting, Panin does not consider residue morphisms.

This work has been available as a preprint for a long time.⁸ It has been used in [BVK08] by Barbieri-Viale and Kahn about questions of duality. Ivorra refers to it in [Ivo10] mainly concerning *motivic fundamental classes* (Def. 1.26 here). Our initial interest for the Gysin morphism was motivated by the some computation in the coniveau filtration at the level of motives ; we refer the reader to [Dég11] in this book for this subject.

We have extended the considerations of the present paper in a more general setting in [Dég08a]: the base can be arbitrary and we work in an abstract setting which allows to consider both motives and *MGL*-modules – the latter corresponds to generalized oriented cohomologies, see *loc. cit.* for details. The present version

⁷Recall Levine showed an equivalence of triangulated monoidal categories between his category of mixed motives and the one of Voevodsky under the assumption of resolution of singularities.

⁸ It first appears on the preprint server of the LAGA in 2005.

is still useful as the proof are much simpler. Let us mention also the fundamental work [Ayo07] of Ayoub on cross functors. It yields Gysin morphisms through a classical procedure (dating back to [SGA4]). However, one has to take care about questions of orientation which are not treated by Ayoub (aka Thom isomorphisms). This is done in [CD09b]. On the other hand, the excess intersection formula, as well as formulas involving residues do not follow directly from the 6 functors formalism but from the analysis done here.

A final word concerning Poincaré duality: it was well known that strong duality for motives of smooth projective k -schemes was a consequence of the construction by Voevodsky of a \otimes -functor from Chow motives to geometric motives (see [FSV00, chap. 5, 2.1.4]). On the other hand, our direct proof of duality shows the existence of this functor (see Remark 2.19) without using the theory of Friedlander and Lawson on moving cycles ([FL98]).⁹ Let us mention also that the new idea in our definition of the motive with compact support of a smooth k -scheme is that the Gysin morphism of the diagonal allows to construct a comparison functor from the motive with compact support to the usual motive (see property (iv) after Def. 2.21) – this idea was already used in [CD09a]. Compared to other versions of motive with compact support, one by Voevodsky in [FSV00, chap. 5, §4] and the other by Huber-Kahn in [HK06, app. B], ours allows one to bypass the assumptions of resolution of singularities for some of the fundamental properties.

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NOTATIONS AND CONVENTIONS

We fix a base field k which is assumed to be perfect. The word scheme will stand for any separated k -scheme of finite type, and we will say that a scheme is smooth when it is smooth over the base field. The category of smooth schemes is denoted by $\mathcal{S}m(k)$. Throughout the paper, when we talk about the codimension of a closed immersion, the rank of a projective bundle or the relative dimension of a morphism, we assume it is constant.

Given a vector bundle E over X , and P the associated projective bundle with projection $p : P \rightarrow X$, we will call *canonical line bundle* on P the canonical invertible sheaf λ over P characterized by the property that $\lambda \subset p^{-1}(E)$. Similarly, we will call *canonical dual line bundle* on P the dual of λ .

We say that a morphism is *projective* if it admits a factorization into a closed immersion followed by the projection of a projective bundle.¹⁰

We let $DM_{gm}(k)$ (resp. $DM_{gm}^{eff}(k)$) be the category of geometric motives (resp. effective geometric motives) introduced in [FSV00, chap. 5]. For the result of section 1, we work in the category $DM_{gm}^{eff}(k)$. If X is a smooth scheme, we denote

⁹Explicitly: the proof of Prop. 2.1.4 of [FSV00, chap. 5] refers to [FSV00, chap. 4, 7.1] which uses in particular [FSV00, chap. 4, 6.3] whose proof is a reference to [FL98].

¹⁰Beware this is not the convention of [EGA2] unless the aim of the morphism admits an ample line bundle.

by $M(X)$ the effective motive associated with X in $DM_{gm}^{eff}(k)$. From section 2 to the end of the article, we work in the category $DM_{gm}(k)$. Then $M(X)$ will be the motive associated with X in the category $DM_{gm}(k)$ (through the canonical functor $DM_{gm}^{eff}(k) \rightarrow DM_{gm}(k)$).

For a morphism $f : Y \rightarrow X$ of smooth schemes, we will simply put $f_* = M(f)$. Moreover for any integer r , we sometimes put $\mathbb{Z}((r)) = \mathbb{Z}(r)[2r]$ in large diagrams. When they are clear from the context (for example in diagrams), we do not indicate twists or shifts on morphisms.

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1. THE GYSIN TRIANGLE

1.1. Relative motives.

Definition 1.1. We call closed (resp. open) pair any couple (X, Z) (resp. (X, U)) such that X is a smooth scheme and Z (resp. U) is a closed (resp. open) subscheme of X .

Let (X, Z) be an arbitrary closed pair. We will say (X, Z) is smooth if Z is smooth. For an integer n , we will say that (X, Z) has codimension n if Z has (pure) codimension n in X .

A morphism of open or closed pairs $(Y, B) \rightarrow (X, A)$ is a couple of morphisms (f, g) which fits into the commutative diagram of schemes

$$\begin{array}{ccc} B & \hookrightarrow & Y \\ g \downarrow & & \downarrow f \\ A & \hookrightarrow & X. \end{array}$$

If the pairs are closed, we also require that this square is topologically cartesian¹¹.

We add the following definitions :

- The morphism (f, g) is said to be cartesian if the above square is cartesian as a square of schemes.
- A morphism (f, g) of closed pairs is said to be excisive if f is étale and g_{red} is an isomorphism.
- A morphism (f, g) of smooth closed pairs is said to be transversal if it is cartesian and the source and target have the same codimension.

¹¹*i.e.* cartesian as a square of topological spaces ; in other words, $B_{red} = (A \times_X Y)_{red}$.

We will denote conventionally open pairs as fractions (X/U) .

Definition 1.2. Let (X, Z) be a closed pair. We define the relative motive $M_Z(X)$ — sometimes denoted by $M(X/X - Z)$ — associated with (X, Z) to be the class in $DM_{gm}^{eff}(k)$ of the complex

$$\dots \rightarrow 0 \rightarrow [X - Z] \rightarrow [X] \rightarrow 0 \rightarrow \dots$$

where $[X]$ is in degree 0.

Relative motives are functorial with respect to morphisms of closed pairs. In fact, $M_Z(X)$ is functorial with respect to morphisms of the associated open pair $(X/X - Z)$. For example, if $Z \subset T$ are closed subschemes of X , we get a morphism $M_T(X) \rightarrow M_Z(X)$.

If $j : (X - Z) \rightarrow X$ denotes the complementary open immersion, we obtain a canonical distinguished triangle in $DM_{gm}^{eff}(k)$:

$$(1.2.a) \quad M(X - Z) \xrightarrow{j_*} M(X) \rightarrow M_Z(X) \rightarrow M(X - Z)[1].$$

Remark 1.3. The relative motive in $DM_{gm}^{eff}(k)$ defined here corresponds under the canonical embedding to the relative motive in $DM_-^{eff}(k)$ defined in [Dég04, def. 2.2].

The following proposition sums up the basic properties of relative motives. It follows directly from [Dég04, 1.3] using the previous remark. Note moreover that in the category $DM_{gm}^{eff}(k)$, each property is rather clear, except **(Exc)** which follows from the embedding theorem [FSV00, chap. 5, 3.2.6] of Voevodsky.

Proposition 1.4. *Let (X, Z) be a closed pair. The following properties of relative motives hold:*

(Red) Reduction: *If we denote by Z_0 the reduced scheme associated with Z then:*

$$M_Z(X) = M_{Z_0}(X).$$

(Exc) Excision: *If $(f, g) : (Y, T) \rightarrow (X, Z)$ is an excisive morphism then $(f, g)_*$ is an isomorphism.*

(MV) Mayer-Vietoris : *If $X = U \cup V$ is an open covering of X then we obtain a canonical distinguished triangle of shape:*

$$\begin{aligned} M_{Z \cap U \cap V}(U \cap V) &\xrightarrow{M(j_U) - M(j_V)} M_{Z \cap U}(U) \oplus M_{Z \cap V}(V) \\ &\xrightarrow{M(i_U) + M(i_V)} M_Z(X) \longrightarrow M_{Z \cap U \cap V}(U \cap V)[1]. \end{aligned}$$

The morphism i_U, i_V, j_U, j_V stands for the obvious cartesian morphisms of closed pairs induced by the corresponding canonical open immersions.

(Add) Additivity: *Let Z' be a closed subscheme of X disjoint from Z . Then the morphism induced by the inclusions*

$$M_{Z \sqcup Z'}(X) \rightarrow M_Z(X) \oplus M_{Z'}(X)$$

is an isomorphism.

(Htp) Homotopy: *Let $\pi : (\mathbb{A}_X^1, \mathbb{A}_Z^1) \rightarrow (X, Z)$ denote the cartesian morphism induced by the projection. Then π_* is an isomorphism.*

1.2. Purity isomorphism.

1.5. Consider an integer $i \geq 0$. Recall that the i -th twisted motivic complex over k is defined according to Voevodsky as *Suslin's singular simplicial complex* of the cokernel of the natural map of sheaves with transfers $\mathbb{Z}^{tr}(\mathbb{A}_k^i - 0) \rightarrow \mathbb{Z}^{tr}(\mathbb{A}_k^i)$, shifted by $2i$ degrees on the left (cf [SV00] or [FSV00]). Motivic cohomology of a smooth scheme X in degree $n \in \mathbb{Z}$ and twists i is defined following Beilinson's idea as the Nisnevich hypercohomology groups of this complex which we denote by $H_{\mathcal{M}}^n(X; \mathbb{Z}(i))$. Moreover, there is a natural pairing of complexes $\mathbb{Z}(i) \otimes \mathbb{Z}(j) \rightarrow \mathbb{Z}(i+j)$ (cf [SV00]) which induces the product on motivic cohomology.

Recall there exists¹² a canonical isomorphism

$$(1.5.a) \quad \epsilon_X : CH^i(X) \xrightarrow{\sim} H_{\mathcal{M}}^{2i}(X; \mathbb{Z}(i))$$

which is functorial with respect to pullbacks and compatible with products.

According to [FSV00, chap. 5, 3.2.6], we also get an isomorphism

$$(1.5.b) \quad H_{\mathcal{M}}^n(X; \mathbb{Z}(i)) \simeq \text{Hom}_{DM_{gm}^{eff}(k)}(M(X), \mathbb{Z}(i)[n])$$

where $\mathbb{Z}(i)$ on the right hand side stands (by the usual abuse of notation) for the i -th Tate geometric motive. In what follows, we will identify cohomology classes in motivic cohomology with morphisms in $DM_{gm}^{eff}(k)$ according to this isomorphism.

Thus cup-product on motivic cohomology corresponds to a product on morphisms that we describe now. Let X be a smooth scheme, $\delta : X \rightarrow X \times_k X$ be the diagonal embedding and $f : M(X) \rightarrow \mathcal{M}$, $g : M(X) \rightarrow \mathcal{N}$ be two morphisms with target a geometric motive. We define the *exterior product* of f and g , denoted by $f \boxtimes g$ or simply $f \boxtimes g$, as the composite

$$(1.5.c) \quad M(X) \xrightarrow{\delta_*} M(X) \otimes M(X) \xrightarrow{f \otimes g} \mathcal{M} \otimes \mathcal{N}.$$

In the case where $\mathcal{M} = \mathbb{Z}(i)[n]$, $\mathcal{N} = \mathbb{Z}(j)[m]$, identifying the tensor product $\mathbb{Z}(i)[n] \otimes \mathbb{Z}(j)[m]$ with $\mathbb{Z}(i+j)[n+m]$ by the canonical isomorphism, the above product corresponds exactly to the cup-product on motivic cohomology.

According to the isomorphism (1.5.a), motivic cohomology admits Chern classes. Thus, applying the isomorphism (1.5.b), we attach to any vector bundle E on a smooth scheme X and any integer $i \geq 0$, the following morphism in $DM_{gm}^{eff}(k)$

$$(1.5.d) \quad \mathbf{c}_i(E) : M(X) \rightarrow \mathbb{Z}(i)[2i]$$

which corresponds under the preceding isomorphisms to the i -th Chern class of E in the Chow group. For short, we call this morphism the *i -th motivic Chern class* of E .

Remark 1.6. According to our construction, any formula in the Chow group involving pullbacks and intersections of Chern classes induces a corresponding formula for the morphisms of type (1.5.d).

1.7. We finally recall the projective bundle theorem (cf [FSV00, chap. 5, 3.5.1]). Let P be a projective bundle of rank n over a smooth scheme X , λ its canonical

¹² Following Voevodsky, this isomorphism is obtained from the Nisnevich hypercohomology spectral sequence of the complex $\mathbb{Z}(i)$ once we have observed that $H^q(\mathbb{Z}(i)) = 0$ if $q > i$ and $H^i(\mathbb{Z}(i))$ is canonically isomorphic with the i -th Milnor unramified cohomology sheaf \mathcal{K}_i^M . The compatibility with product and pullback then follows from a careful study (cf for example [Dég02, 8.3.4]).

dual line bundle and $p : P \rightarrow X$ the canonical projection. The projective bundle theorem of Voevodsky says that the morphism

$$(1.7.a) \quad M(P) \xrightarrow{\sum_{i \leq n} c_1(\lambda)^i \boxtimes p_*} \bigoplus_{i=0}^n M(X)((i))$$

is an isomorphism.

Thus, we can associate with P a family of split monomorphisms indexed by an integer $r \in [0, n]$ corresponding to the decomposition of its motive :

$$(1.7.b) \quad \mathfrak{l}_r(P) : M(X)(r)[2r] \rightarrow \bigoplus_{i \leq n} M(X)(i)[2i] \rightarrow M(P).$$

The following lemma will be a key point in the theory of the Gysin morphism:

Lemma 1.8. *Consider the notations introduced above.*

Let $x \in CH^n(P)$ be a cycle class and $x_i \in CH^{n-i}(X)$ be cycle classes such that

$$(1.8.a) \quad x = \sum_{i=0}^n p^*(x_i) \cdot c_1(\lambda)^i.$$

Consider an integer $i \in [0, n]$ and the following morphisms in $DM_{gm}^{eff}(k)$

$$\begin{aligned} \mathfrak{x} &: M(X) \rightarrow \mathbb{Z}(n)[2n] \\ \mathfrak{x}_i &: M(X) \rightarrow \mathbb{Z}(n-i)[2(n-i)] \end{aligned}$$

associated respectively with x and x_i through the isomorphisms (1.5.a) and (1.5.b).

Then we get the equality of morphisms $M(X)(i)[2i] \rightarrow \mathbb{Z}(r)[2r]$ in $DM_{gm}^{eff}(k)$:

$$\mathfrak{x} \circ \mathfrak{l}_i(P) = \mathfrak{x}_i(i)[2i].$$

Proof. Taking care of Remark 1.6, the equality (1.8.a) induces the following equality of morphisms $M(P) \rightarrow \mathbb{Z}(r)[2r]$:

$$\mathfrak{x} = \sum_{i=0}^r c_1(\lambda)^i \boxtimes (\mathfrak{x}_i \circ p_*) = \sum_{i=0}^r [\mathfrak{x}_i(i)[2i]] \circ c_1(\lambda)^i \boxtimes p_*.$$

The second equality follows from the definition of the exterior cup product (formula (1.5.b)). Thus, the definition of $\mathfrak{l}_i(P)$ and the formula (1.7.a) for the projective bundle isomorphism on motives allow to conclude. \square

Remark 1.9. Note in particular that we deduce from the preceding lemma the following weak form of the cancellation theorem of Voevodsky [Voe02]: for any smooth scheme X and any non negative integers (n, i) such that $i \leq n$, the morphism

$$\begin{aligned} \mathrm{Hom}_{DM_{gm}^{eff}(k)}(M(X), \mathbb{Z}(n-i)[2(n-i)]) &\rightarrow \mathrm{Hom}_{DM_{gm}^{eff}(k)}(M(X)(i)[2i], \mathbb{Z}(n)[2n]), \\ \phi &\mapsto \phi(i)[2i] \end{aligned}$$

is an isomorphism.

Lemma 1.10. *Let X be a smooth scheme and E/X be a vector bundle. Consider the projective completion P of E/X , the closed pair (P, X) corresponding to the canonical section of P/X and the complementary open immersion $j : U \rightarrow P$. Then the distinguished triangle (1.2.a) associated with (P, X)*

$$(1.10.a) \quad M(U) \xrightarrow{j_*} M(P) \xrightarrow{\pi_P} M_X(P) \rightarrow M(U)[1]$$

is split.

Proof. Recall $P = \mathbb{P}(E \oplus \mathbb{A}_X^1)$. Let $\nu : \mathbb{P}(E) \rightarrow P$ be the embedding associated with the monomorphism of vector bundles $E \rightarrow E \oplus \mathbb{A}_X^1$. The closed immersion ν factors through the open immersion $j : U \rightarrow P$. Let us denote finally by L the canonical line bundle on $\mathbb{P}(E)$ and by s_0 its zero section. Then, according to [EGA2, §8], there exists an isomorphism of schemes $\epsilon : L \rightarrow U$ such that the following diagram commutes:

$$\begin{array}{ccc} L & \xrightarrow{\epsilon} & U \\ s_0 \uparrow & & \downarrow j \\ \mathbb{P}(E) & \xrightarrow{\nu} & P. \end{array}$$

Thus the morphism j_* is isomorphic in $DM_{gm}^{eff}(k)$ to the morphism

$$\nu_* : M(\mathbb{P}(E)) \rightarrow M(P)$$

which is a split monomorphism according to the respective projective bundle isomorphisms for $\mathbb{P}(E)/X$ and P/X . \square

1.11. Consider a smooth closed pair (X, Z) . Let $N_Z X$ (resp. $B_Z X$) be the normal bundle (resp. blow-up) of (X, Z) and $P_Z X$ be the projective completion of $N_Z X$. We denote by $B_Z(\mathbb{A}_X^1)$ the blow-up of \mathbb{A}_X^1 with center $\{0\} \times Z$. It contains as a closed subscheme the trivial blow-up $\mathbb{A}_Z^1 = B_Z(\mathbb{A}_Z^1)$. We consider the closed pair $(B_Z(\mathbb{A}_X^1), \mathbb{A}_Z^1)$ over \mathbb{A}_k^1 . Its fiber over 1 is the closed pair (X, Z) and its fiber over 0 is $(B_Z X \cup P_Z X, Z)$. Thus we can consider the following deformation diagram :

$$(1.11.a) \quad (X, Z) \xrightarrow{\bar{\sigma}_1} (B_Z(\mathbb{A}_X^1), \mathbb{A}_Z^1) \xleftarrow{\bar{\sigma}_0} (P_Z X, Z).$$

This diagram is functorial in (X, Z) with respect to cartesian morphisms of closed pairs. Note finally that, on the closed subschemes of each closed pair, $\bar{\sigma}_0$ (resp. $\bar{\sigma}_1$) is the 0-section (resp. 1-section) of \mathbb{A}_Z^1/Z .

The existence statement in the following proposition appears already in [Dég08b, 2.2.5] but the uniqueness statement is new :

Proposition 1.12. *Let n be a natural integer.*

There exists a unique family of isomorphisms of the form

$$\mathfrak{p}_{(X,Z)} : M_Z(X) \rightarrow M(Z)(n)[2n]$$

indexed by smooth closed pairs of codimension n such that :

- (1) *for every cartesian morphism $(f, g) : (Y, T) \rightarrow (X, Z)$ of smooth closed pairs of codimension n , the following diagram is commutative :*

$$\begin{array}{ccc} M_T(Y) & \xrightarrow{(f,g)_*} & M_Z(X) \\ \mathfrak{p}_{(Y,T)} \downarrow & & \downarrow \mathfrak{p}_{(X,Z)} \\ M(T)(n)[2n] & \xrightarrow{g_*(n)[2n]} & M(Z)(n)[2n]. \end{array}$$

- (2) *Let X be a smooth scheme and P be the projective completion of a vector bundle E/X of rank n . Consider the closed pair (P, X) corresponding to the 0-section of E/X . Then $\mathfrak{p}_{(P,X)}$ is the inverse of the following morphism*

$$M(X)(n)[2n] \xrightarrow{\mathfrak{l}_n(P)} M(P) \xrightarrow{\pi_P} M_X(P).$$

where $\mathfrak{l}_n(P)$ is the monomorphism of (1.7.b) and π_P is the epimorphism of the split distinguished triangle (1.10.a).

Proof. Uniqueness : Consider a smooth closed pair (X, Z) of codimension n .

Applying property (1) to the deformation diagram (1.11.a), we obtain the commutative diagram :

$$\begin{array}{ccccc}
 M(X, Z) & \xrightarrow{\bar{\sigma}_{1*}} & M(B_Z(\mathbb{A}_X^1), \mathbb{A}_Z^1) & \xleftarrow{\bar{\sigma}_{0*}} & M(P_Z X, Z) \\
 \downarrow \mathfrak{p}_{(X, Z)} & & \downarrow \mathfrak{p}_{(B_Z(\mathbb{A}_X^1), \mathbb{A}_Z^1)} & & \downarrow \mathfrak{p}_{(P_Z X, Z)} \\
 M(Z)(n)[2n] & \xrightarrow{s_{1*}} & M(\mathbb{A}_Z^1)(n)[2n] & \xleftarrow{s_{0*}} & M(Z)(n)[2n]
 \end{array}$$

Using homotopy invariance, s_{0*} and s_{1*} are isomorphisms. Thus in this diagram, all the morphisms are isomorphisms. Now, the second property of the purity isomorphisms determines uniquely $\mathfrak{p}_{(P_Z X, Z)}$, thus $\mathfrak{p}_{(X, Z)}$ is also uniquely determined.

For the existence part, we refer the reader to [Dég08b], section 2.2. \square

Remark 1.13. The second point of the above proposition appears as a normalization condition. It will be reinforced later (cf Remark 2.3).

Definition 1.14. Let (X, Z) be a smooth closed pair of codimension n . Denote by j (resp. i) the open immersion $(X - Z) \rightarrow X$ (resp. closed immersion $Z \rightarrow X$).

With the notation of the preceding proposition, the morphism $\mathfrak{p}_{(X, Z)}$ will be called the *purity isomorphism* associated with (X, Z) .

Using this isomorphism, we deduce from the distinguished triangle (1.2.a) the following distinguished triangle in $DM_{gm}^{eff}(k)$, called the Gysin triangle of (X, Z)

$$M(X - Z) \xrightarrow{j^*} M(X) \xrightarrow{i^*} M(Z)(n)[2n] \xrightarrow{\partial_{X, Z}} M(X - Z)[1].$$

The morphism $\partial_{(X, Z)}$ (resp. i^*) is called the *residue* (resp. *Gysin morphism*) associated with (X, Z) (resp. i). Sometimes we use the notation $\partial_i = \partial_{(X, Z)}$.

Example 1.15. Consider a smooth scheme X and a vector bundle E/X of rank n . Let P be the projective completion of E , λ be its canonical dual invertible sheaf and $p : P \rightarrow X$ be its canonical projection. Consider the canonical section $s : X \rightarrow P$ of P/X .

We define the Thom class of E in $CH^n(P)$ as the class

$$t(E) = \sum_{i=0}^n p^*(c_{n-i}(E)) \cdot c_1(\lambda)^i.$$

It corresponds according to paragraph 1.5 to a morphism $\mathfrak{t}(E) : M(P) \rightarrow \mathbb{Z}(n)[2n]$.

Consider the notations of Lemma 1.10 together with the definition of the exterior product (1.5.c). By definition of Chern classes, the restriction of the class $t(E)$ to $\mathbb{P}(E)$ is zero. Because the canonical map $\mathbb{P}(E) \rightarrow U$ is a homotopy equivalence¹³, we get that $j^*(t(E)) = 0$. Thus, as the triangle (1.10.a) is split, the morphism

$$\mathfrak{t}(E) \boxtimes_P p_* : M(P) \rightarrow M(X)(n)[2n]$$

factors uniquely through π_P :

$$M(P) \xrightarrow{\pi_P} M_X(P) \xrightarrow{\epsilon_P} M(X)(n)[2n].$$

Because the coefficient of $c_1(\lambda)^n$ in $t(E)$ is 1, we deduce from Lemma 1.8 that $\epsilon_P \circ \mathfrak{p}_{(P, X)}^{-1} = 1$. Thus, according to the previous definition, we obtain the following

¹³See the argument in the proof of Lemma 1.10.

formula¹⁴:

$$(1.15.a) \quad s^* = t(E) \boxtimes_P p_*.$$

Remark 1.16. Our Gysin triangle agrees with that of [FSV00], chap. 5, prop. 3.5.4. Indeed, in the proof of 3.5.4, Voevodsky constructs an isomorphism which he denotes by $\alpha_{(X,Z)}$. He then uses it as we use the purity isomorphism to construct his triangle. It is not hard to check that this isomorphism $\alpha_{(X,Z)}$ satisfies the two conditions of Proposition 1.12 and thus coincides with the purity isomorphism from the uniqueness statement.

1.3. Base change formulas. This subsection is devoted to recall some results we obtained previously in [Dég04] and [Dég08b] about the following type of morphism :

Definition 1.17. Let (X, Z) (resp. (Y, T)) be a smooth closed pair of codimension n (resp. m). Let $(f, g) : (Y, T) \rightarrow (X, Z)$ be a morphism of closed pairs.

We define the morphism $(f, g)_!$ as the following composite :

$$M(T)(m)[2m] \xrightarrow{\mathbf{p}_{(Y,T)}^{-1}} M(Y, T) \xrightarrow{(f,g)_*} M(X, Z) \xrightarrow{\mathbf{p}_{(X,Z)}} M(Z)(n)[2n].$$

In the situation of this definition, let $i : Z \rightarrow X$ and $k : T \rightarrow Y$ be the obvious closed embeddings and $h : (Y - T) \rightarrow (X - Z)$ be the restriction of f . Then we obtain from our definitions the following commutative diagram :

$$(1.17.a) \quad \begin{array}{ccccccc} M(Y - T) & \longrightarrow & M(Y) & \xrightarrow{j^*} & M(T)(m)[2m] & \xrightarrow{\partial_{Y,T}} & M(Y - T)[1] \\ \downarrow & & \downarrow f_* & \stackrel{(1)}{\quad} & \downarrow (f,g)_! & \stackrel{(2)}{\quad} & \downarrow h_* \\ M(X - Z) & \longrightarrow & M(X) & \xrightarrow{i^*} & M(Z)(n)[2n] & \xrightarrow{\partial_{X,Z}} & M(X - Z)[1] \end{array}$$

The commutativity of square (1) corresponds to a *refined projection formula*. The word refined is inspired by the terminology “refined Gysin morphism” of Fulton in [Ful98]. By contrast, the commutativity of square (2) involves motivic cohomology rather than Chow groups.

1.18. Let T (resp. T') be a closed subscheme of a scheme Y with defining ideal \mathcal{J} (resp. \mathcal{J}'). We will say that a closed immersion $i : T \rightarrow T'$ is an *exact thickening of order r in Y* if $\mathcal{J}' = \mathcal{J}^r$. We recall to the reader the following formulas obtained in [Dég04, 3.1, 3.3] :

Proposition 1.19. *Let (X, Z) and (Y, T) be smooth closed pairs of codimension n and m respectively. Let $(f, g) : (Y, T) \rightarrow (X, Z)$ be a morphism of closed pairs.*

- (1) (Transversal case) *If (f, g) is transversal (which implies $n = m$) then $(f, g)_! = g_*(n)[2n]$.*
- (2) (Excess intersection) *If (f, g) is cartesian, we put $e = n - m$ and $\xi = g^*N_Z X / N_T Y$. Then $(f, g)_! = \mathbf{c}_e(\xi) \boxtimes_T g_*(m)[2m]$.*
- (3) (Ramification case) *If $n = m = 1$ and the canonical closed immersion $T \rightarrow Z \times_X Y$ is an exact thickening of order r in Y , then $(f, g)_! = r \cdot g_*(1)[2]$.*

Note that each case of the above proposition gives, via the commutative Diagram (1.17.a), two formulas: one involving Gysin morphisms and the other one involving the residues. When we will apply this proposition, we will always refer to one these two formulas.

¹⁴ This is the analog of the well-known formula in Chow theory: for any cycle class $x \in CH^*(Z)$, $s_*(x) = t(E) \cdot p^*(x)$.

Remark 1.20. In the article [Dég08a, 4.23], the case (3) has been generalized to any codimension $n = m$. In this generality, the integer r is simply the geometric multiplicity of $Z \times_X Y$ – when assumed to be connected.

Corollary 1.21. *Let X be a smooth scheme such that $X = X_1 \sqcup X_2$. Consider the open and closed immersion $\nu_i : X_i \rightarrow X$ for $i = 1, 2$.*

Then the isomorphism $(\nu_{1}, \nu_{2*}) : M(X_1) \oplus M(X_2) \rightarrow M(X)$ admits as an inverse isomorphism the map $(\nu_1^*, \nu_2^*) : M(X) \rightarrow M(X_1) \oplus M(X_2)$.*

Proof. In fact, according to the first point of the above proposition, we get the following relations for $i = 1, 2$: $\nu_i^* \nu_{i*} = 1$, $\nu_{2-i}^* \nu_{i*} = 0$. This, together with the fact (ν_{1*}, ν_{2*}) is an isomorphism, allows to conclude. \square

Another application of the preceding proposition is the following projection formula:

Corollary 1.22. *Let (X, Z) be a smooth pair of codimension n and $i : Z \rightarrow X$ be the corresponding closed immersion.*

Then, $(1_Z \boxtimes_Z i_) \circ i^* = i^* \boxtimes_X 1_X : M(X) \rightarrow M(Z) \otimes M(X)(n)[2n]$.*

Proof. Just apply point (1) of the proposition to the cartesian morphism $(X, Z) \rightarrow (X \times X, Z \times X)$ induced by the diagonal embedding of X . The only thing left to check is that $(i \times 1_X)^* = i^* \otimes 1$, which was done in [Dég08b, 2.6.1]. \square

Remark 1.23. In the above statement, we have loosely identified the motive $M(Z) \otimes M(X)(n)[2n]$ with $(M(Z)(n)[2n]) \otimes M(X)$ through the canonical isomorphism. This will not have any consequences in the present article. On the contrary in [Dég08b], we must be attentive to this isomorphism which may result in a change of sign (cf remark 2.6.2 of *loc. cit.*).

Another corollary of the preceding proposition is the following analog of the self-intersection formula:

Corollary 1.24. *Let (X, Z) be a smooth closed pair of codimension n with normal bundle $N_Z X$. If i denotes the corresponding closed immersion, we obtain the following equality:*

$$i^* i_* = \mathbf{c}_n(N_Z X) \boxtimes_Z 1_{Z*}.$$

Indeed it follows from the transversal case of the preceding proposition applied to the cartesian morphism $(i, 1_Z) : (Z, Z) \rightarrow (X, Z)$ and from the commutativity of square (1) in diagram (1.17.a).

Example 1.25. Consider a vector bundle $p : E \rightarrow X$ of rank n . Let s_0 be its zero section. According to the homotopy property in $DM_{gm}^{eff}(k)$, we get $s_{0*} p_* = 1$. Thus, the preceding corollary applied to s_0 implies the following formula:

$$(1.25.a) \quad s_0^* = \mathbf{c}_n(p^{-1}E) \boxtimes_E p_*.$$

Moreover, the Gysin triangle associated with s_0 together with the isomorphism s_{0*} gives the following distinguished triangle:

$$M(E^\times) \longrightarrow M(E) \xrightarrow{\mathbf{c}_n(E) \boxtimes_X 1_{X*}} M(X)(n)[2n] \xrightarrow{\partial_{E,X} \circ s_{0*}} M(E^\times)[1]$$

where E^\times is the complement of the zero section. Following a classical terminology, we call it the *Euler triangle* of E/X .¹⁵

¹⁵ It is the analog of the Euler long exact sequence associated with E/X in cohomology.

Definition 1.26. Let (X, Z) be a smooth closed pair of codimension n and $i : Z \rightarrow X$ be the corresponding closed immersion. Let $\pi : Z \rightarrow \operatorname{Spec}(k)$ be the structural morphism of Z .

We define the *motivic fundamental class* of Z in X as the following composite map:

$$\eta_X(Z) : M(X) \xrightarrow{i^*} M(Z)(n)[2n] \xrightarrow{\pi_*} \mathbb{Z}(n)[2n].$$

Example 1.27. Let X be a smooth scheme and $p : E \rightarrow X$ be a vector bundle of rank n . According to formula (1.25.a), the motivic fundamental class of the zero section of E/X is:

$$(1.27.a) \quad \eta_E(X) = \mathbf{c}_n(p^{-1}E).$$

Let P/X be the projective completion of E/X . According to formula (1.15.a), the motivic fundamental class of the canonical section of P/X is:

$$(1.27.b) \quad \eta_P(X) = \mathbf{t}(E).$$

Remark 1.28. If we use the cancellation theorem of Voevodsky (see [Voe02] or use more directly Remark 1.9), the Gysin map i^* induces a canonical pushout¹⁶:

$$i_* : H_{\mathcal{M}}^s(Z; \mathbb{Z}(t)) \rightarrow H_{\mathcal{M}}^{s+2n}(X; \mathbb{Z}(t+n)).$$

Then, through the isomorphism (1.5.b), we get the equality $\eta_X(Z) = i_*(1)$, where 1 stands for the unit of the (bigraded) cohomology ring $H_{\mathcal{M}}^*(Z; \mathbb{Z}(*))$. This motivates our terminology.

According to the computations of the previous example, the following lemma is a generalization of formulas (1.15.a) and (1.25.a):

Lemma 1.29. *Let (X, Z) be a smooth closed pair of codimension n and $i : Z \rightarrow X$ be the corresponding closed immersion. Assume that i admits a retraction $p : X \rightarrow Z$.*

Then $i^ = \eta_X(Z) \boxtimes_X p_*$.*

Proof. Let $\pi : Z \rightarrow \operatorname{Spec}(k)$ be the structural morphism. According to formula (1.5.c), we deduce that $\pi_* \boxtimes_Z 1_{Z*} = 1_{Z*}$. The lemma follows from the following computation:

$$\begin{aligned} i^* &\stackrel{(1)}{=} [\pi_* \boxtimes_Z (p_* i_*)] \circ i^* = (\pi_* \otimes p_*)(1_{Z*} \boxtimes_Z i_*) \circ i^* \stackrel{(2)}{=} (\pi_* \otimes p_*)(i^* \boxtimes_X 1_{Z*}) \\ &= \eta_X(Z) \boxtimes_X p_* \end{aligned}$$

where equality (1) is justified by the preceding remark and the relation $pi = 1_Z$ whereas equality (2) is in fact Corollary 1.22. \square

Lemma 1.30. *Let X be a smooth scheme and E/X be a vector bundle of rank n . Let s (resp. s_0) be a section (resp. the zero section) of E/X . Assume that s is transversal to s_0 and consider the cartesian square:*

$$\begin{array}{ccc} Z & \xrightarrow{i} & X \\ k \downarrow & & \downarrow s \\ X & \xrightarrow{s_0} & E \end{array}$$

Then the motivic fundamental class of i is:

$$\eta_X(Z) = \mathbf{c}_n(E).$$

¹⁶ We prove in [Dég09, lem. 3.3] that this pushout coincides through the isomorphism (1.5.a) with the usual pushout in Chow theory.

Proof. Let π (resp. π') be the structural morphism of Z (resp. X). The lemma follows from the computation below:

$$\eta_X(Z) = \pi_* i^* = \pi'_* k_* i^* \stackrel{(1)}{=} \pi'_* s_0^* s_* \stackrel{(2)}{=} \mathbf{c}_n(p^{-1}E) \circ s_* \stackrel{(3)}{=} \mathbf{c}_n(E) \circ p_* \circ s_* = \mathbf{c}_n(E).$$

Equality (1) follows from Proposition 1.19, equality (2) from Formula (1.27.a) and equality (3) from Remark 1.6. \square

Example 1.31. Let E/X be a vector bundle and $p : P \rightarrow X$ be its projective completion. Let λ be the canonical dual line bundle on P . Put $F = \lambda \otimes_P p^{-1}(E)$ as a vector bundle over P . According to our conventions, we get a canonical embedding $\lambda^\vee \subset p^{-1}(E \oplus \mathbb{A}_X^1)$. Then the following composite map

$$\lambda^\vee \rightarrow p^{-1}(E \oplus \mathbb{A}_X^1) \rightarrow p^{-1}(E)$$

corresponds to a section σ of F/P . One can check that σ is transversal to the zero section s_0^F of F/P and that the following square is cartesian:

$$\begin{array}{ccc} X & \xrightarrow{s} & P \\ \downarrow & & \downarrow \sigma \\ P & \xrightarrow{s_0^F} & F \end{array}$$

where s is the canonical section of P/X . Thus the preceding corollary gives the following equality: $\eta_P(X) = \mathbf{c}_n(F)$.¹⁷

1.4. Composition of Gysin triangles. We first establish lemmas needed for the main theorem. First of all, using the projection formula in the transversal case (cf 1.19) and the compatibility of Chern classes with pullbacks, we easily obtain the following result:

Lemma 1.32. *Let (Y, Z) be a smooth closed pair of codimension m and P/Y be a projective bundle of dimension n . We put $V = Y - Z$ and consider the following cartesian squares :*

$$\begin{array}{ccccc} P_V & \xrightarrow{\nu} & P & \xleftarrow{\iota} & P_Z \\ p_V \downarrow & & p \downarrow & & \downarrow p_Z \\ V & \xrightarrow{j} & Y & \xleftarrow{i} & Z \end{array}$$

Finally, we consider the canonical line bundle λ (resp. λ_V, λ_Z) on P (resp. P_V, P_Z).

Then, for any integer $r \in [0, n]$, the following diagram is commutative

$$\begin{array}{ccccccc} M(P_V) & \xrightarrow{\nu_*} & M(P) & \xrightarrow{\iota^*} & M(P_Z)((m)) & \xrightarrow{\partial_\iota} & M(P_V)[1] \\ \downarrow \mathbf{c}_1(\lambda_V)^r \boxtimes p_{V*} & & \downarrow \mathbf{c}_1(\lambda)^r \boxtimes p_* & & \downarrow \mathbf{c}_1(\lambda_Z)^r \boxtimes p_{Z*} & & \downarrow \mathbf{c}_1(\lambda_V)^r \boxtimes p_{V*}[1] \\ M(V)((r)) & \xrightarrow{j_*} & M(Y)((r)) & \xrightarrow{i^*} & M(Z)((r+m)) & \xrightarrow{\partial_i} & M(V)((r))[1]. \end{array}$$

The following lemma will be in fact the crucial case in the proof of the next theorem.

¹⁷In fact, from the definition of the Thom class (Example 1.15), one can check directly the equality $c_n(F) = t(E)$ in the Chow group $CH^n(P)$: the computation we get in this example shows that our (sign) conventions are coherent.

Lemma 1.33. *Let X be a smooth scheme and E/X (resp. E'/X) be a vector bundle of rank n (resp. m). Let P (resp. P') be the projective completion of E/X (resp. E'/X) and i (resp. i') its canonical section.*

We put $R = P \times_X P'$ and consider the closed immersions:

$$i : X \rightarrow P, j : P \rightarrow R, k = j \circ i,$$

where $j = P \times_X i'$ and $k = (i, i')$. Then $k^ = i^* j^*$.*

Proof. We consider the following canonical morphisms:

$$\begin{array}{ccc} R & \xrightarrow{q} & P' \\ q' \downarrow & \searrow \pi & \downarrow p' \\ P & \xrightarrow{p} & X \end{array}$$

According to Lemma 1.29, we obtain

$$i^* = \eta_P(X) \boxtimes_{PP_*}, \quad j^* = \eta_R(P) \boxtimes_{Rq'_*}, \quad k^* = \eta_R(X) \boxtimes_{P\pi_*}.$$

Applying the first case of Proposition 1.19 to the cartesian morphism of closed pairs $(q', p') : (R, P') \rightarrow (P, X)$, we obtain the relation:

$$\eta_P(X) \circ q'_* = \eta_R(P').$$

Together with the preceding computations, it implies the following equality:

$$i^* j^* = \eta_R(P) \boxtimes_P \eta_R(P') \boxtimes_{P\pi_*}.$$

Thus we are reduced to prove the relation:

$$(1.33.a) \quad \eta_R(X) = \eta_R(P) \boxtimes_R \eta_R(P').$$

Consider the notations of Example 1.31 applied to the case of E/X (resp. E'/X): we get a vector bundle F/P (resp. F'/P') of rank n (resp. m) such that:

$$\begin{aligned} \eta_P(X) &= \mathbf{c}_n(F), \\ \text{resp. } \eta_{P'}(X) &= \mathbf{c}_m(F'). \end{aligned}$$

Let σ (resp. σ') be the section of F/P (resp. F'/P') constructed in *loc. cit.* Consider the vector bundle over R defined as:

$$G = F \times_X F' = q'^{-1}(F) \oplus q^{-1}(F').$$

We get a section $(\sigma \times_X \sigma')$ of G/P which is transversal to the zero section s_0^G and such that the following square is cartesian:

$$\begin{array}{ccc} X & \xrightarrow{i} & R \\ \downarrow & & \downarrow \sigma \times_X \sigma' \\ R & \xrightarrow{s_0^G} & G. \end{array}$$

Thus, according to Lemma 1.30, we obtain:

$$\eta_R(X) = \mathbf{c}_{n+m}(G).$$

The relation (1.33.a) now follows from Remark 1.6 and the equality

$$c_{n+m}(G) = q'^*(c_n(F)) \cdot q^*(c_m(F'))$$

in $CH^{n+m}(R)$. □

Theorem 1.34. *Consider a topologically cartesian square of smooth schemes*

$$\begin{array}{ccc} Z & \xrightarrow{k} & Y' \\ \downarrow l & & \downarrow j \\ Y & \xrightarrow{i} & X \end{array}$$

such that i, j, k, l are closed immersions of respective pure codimensions n, m, s, t . We put $d = n + t = m + s$ and let $i' : (Y - Z) \rightarrow (X - Y')$, $j' : (Y' - Z) \rightarrow (X - Y)$ be the closed immersion respectively induced by i, j .

Then the following diagram is commutative :

$$\begin{array}{ccccc} M(X) & \xrightarrow{j^*} & M(Y')((m)) & \xrightarrow{\partial_j} & M(X - Y')[1] \\ \downarrow i^* & (1) & \downarrow k^* & (2) & \downarrow (i')^* \\ M(Y)((n)) & \xrightarrow{l^*} & M(Z)((d)) & \xrightarrow{\partial_l} & M(Y - Z)((n))[1] \\ & & \downarrow \partial_k & (3) & \downarrow \partial_{i'} \\ & & M(Y' - Z)((m))[1] & \xrightarrow{-\partial_{j'}} & M(X - Y \cup Y')[2] \end{array}$$

Proof. We will simply call smooth triple the data (X, Y, Y') of a triple of smooth schemes X, Y, Y' such that Y' and Y are closed subschemes of X . Such smooth triples form a category with morphisms the commutative diagrams

$$\begin{array}{ccccc} \bar{Y} & \hookrightarrow & \bar{X} & \longleftarrow & \bar{Y}' \\ g \downarrow & & f \downarrow & & \downarrow g' \\ Y & \hookrightarrow & X & \longleftarrow & Y' \end{array}$$

made of two cartesian squares. We say in addition that the morphism (f, g, g') is *transversal* if f is transversal to Y, Y' and $Y \cap Y'$.

To such a triple, we associate a geometric motive $M(X, Y, Y')$ as the cone of the canonical map of complexes of $\mathcal{S}m^{cor}(k)$

$$\begin{array}{ccccccc} \cdots & \longrightarrow & [X - Y \cup Y'] & \longrightarrow & [X - Y'] & \longrightarrow & \cdots \\ & & \downarrow & & \downarrow & & \\ \cdots & \longrightarrow & [X - Y] & \longrightarrow & [X] & \longrightarrow & \cdots \end{array}$$

where $[X]$ and $[X - Y']$ are placed in degree 0. This motive is evidently functorial with respect to morphisms of smooth triples.

We will also use the notation $M\left(\frac{X/X-Y}{X-Y'/X-Y \cup Y'}\right)$ for this motive because it is more suggestive. By definition, it fits into the following diagram, with $\Omega = Y \cup Y'$:

$$\begin{array}{ccccccc} (\mathcal{D}) : M(X - \Omega) & \longrightarrow & M(X - Y) & \longrightarrow & M\left(\frac{X-Y}{X-\Omega}\right) & \longrightarrow & M(X - \Omega)[1] \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ M(X - Y') & \longrightarrow & M(X) & \longrightarrow & M\left(\frac{X}{X-Y'}\right) & \longrightarrow & M(X - Y')[1] \\ \downarrow & & \downarrow & (1) & \downarrow & (2) & \downarrow \\ M\left(\frac{X-Y'}{X-\Omega}\right) & \longrightarrow & M\left(\frac{X}{X-Y}\right) & \longrightarrow & M\left(\frac{X/X-Y}{X-Y'/X-\Omega}\right) & \longrightarrow & M\left(\frac{X-Y'}{X-\Omega}\right)[1] \\ \downarrow & & \downarrow & & \downarrow & (3) & \downarrow \\ M(X - \Omega)[1] & \longrightarrow & M(X - Y)[1] & \longrightarrow & M\left(\frac{X-Y}{X-\Omega}\right)[1] & \longrightarrow & M(X - \Omega)[2]. \end{array}$$

In this diagram, every square is commutative except square (3) which is anticommutative due to the fact the permutation isomorphism on $\mathbb{Z}[1] \otimes \mathbb{Z}[1]$ is equal to -1 . Moreover, any line or row of this diagram is a distinguished triangle.

With the hypothesis of the theorem, the proof will consist in constructing a purity isomorphism $\mathbf{p}_{(X,Y,Y')} : M(X,Y,Y') \rightarrow M(Z)(d)[2d]$ which satisfies the following properties :

- (i) *Functoriality* : The morphism $\mathbf{p}_{(X,Y,Y')}$ is functorial with respect to transversal morphisms of smooth triples.
- (ii) *Symmetry* : The following diagram is commutative :

$$\begin{array}{ccc} M(X,Y,Y') & \xrightarrow{\quad} & M(X,Y',Y) \\ & \searrow \mathbf{p}_{(X,Y,Y')} \quad \swarrow \mathbf{p}_{(X,Y',Y)} & \\ & M(Z)(d)[2d] & \end{array}$$

where the horizontal map is the canonical isomorphism.

- (iii) *Compatibility* : The following diagram is commutative :

$$\begin{array}{ccccccc} M\left(\frac{X-Y'}{X-\Omega}\right) & \longrightarrow & M\left(\frac{X}{X-Y}\right) & \longrightarrow & M(X,Y,Y') & \longrightarrow & M\left(\frac{X-Y'}{X-\Omega}\right)[1] \\ \mathbf{p}_{(X-Y',Y-Z)} \downarrow & & \mathbf{p}_{(X,Y)} \downarrow & & \mathbf{p}_{(X,Y,Y')} \downarrow & & \mathbf{p}_{(X-Y',Y-Z)} \downarrow \\ M(Y-Z)((n)) & \longrightarrow & M(Y)((n)) & \xrightarrow{j^*} & M(Z)((d)) & \xrightarrow{\partial_j} & M(Y-Z)((n))[1] \end{array}$$

With this isomorphism, we can deduce the three relations of the theorem by considering squares (1), (2), (3) in the above diagram and applying the evident purity isomorphism where it belongs.

We then are reduced to construct the isomorphism and to prove the above relations. The second relation is the most difficult one because we have to show that two isomorphisms in a triangulated category are equal. This forces us to be very precise in the construction of the isomorphism.

Construction of the purity isomorphism for smooth triples :

Consider the deformation diagram (1.11.a) for the closed pair (X,Y) and put $B = B_Y(\mathbb{A}_X^1)$, $P = P_Y X$. Put also $(U,V) = (X - Y', Y - Z)$, $B_U = B \times_X U$ and $P_V = P \times_Y V$. Note that, because $Z = (Y \times_X Y')_{red}$, we get $V = Y \times_X U$; thus B_U is the deformation space of (1.11.a) for the closed pair (U,V) . By functoriality of the deformation diagram and of relative motives we obtain the following morphisms of distinguished triangles :

$$\begin{array}{ccccc} M(U,V) & \longrightarrow & M(X,Y) & \longrightarrow & M\left(\frac{X/X-Y}{U/U-V}\right) \xrightarrow{+1} \\ \downarrow & & \downarrow & & \downarrow \\ M(B_U, \mathbb{A}_V^1) & \longrightarrow & M(B, \mathbb{A}_Y^1) & \longrightarrow & M\left(\frac{B/B-\mathbb{A}_Y^1}{B_U/B_U-\mathbb{A}_V^1}\right) \xrightarrow{+1} \\ \uparrow & & \uparrow & & \uparrow \\ M(P_V, V) & \longrightarrow & M(P, Y) & \longrightarrow & M\left(\frac{P/P-Y}{P_V/P_V-V}\right) \xrightarrow{+1} \end{array}$$

According to Proposition 1.12 and homotopy invariance, the vertical maps in the first two columns are isomorphisms. As the rows in the diagram are distinguished triangles, the vertical maps in the third column also are isomorphisms.

Using Lemma 1.32 with $P = \mathbb{P}(N_Y X \oplus \mathbb{A}_Y^1)$, we can consider the following morphism of distinguished triangles :

$$\begin{array}{ccccc}
 M(P_V, V) & \longrightarrow & M(P, Y) & \longrightarrow & M\left(\frac{P/P-Y}{P_V/P_V-V}\right) \xrightarrow{+1} \\
 \uparrow & & \uparrow & & \uparrow \\
 M(P_V) & \longrightarrow & M(P) & \longrightarrow & M\left(\frac{P}{P_V}\right) \xrightarrow{+1} \\
 \parallel & & \parallel & & \uparrow \mathfrak{p}_{(P, P_Z)}^{-1} \\
 M(P_V) & \longrightarrow & M(P) & \longrightarrow & M(P_Z)((s)) \xrightarrow{+1} \\
 \uparrow \mathfrak{l}_n(P_V) & & \uparrow \mathfrak{l}_n(P) & & \uparrow \mathfrak{l}_n(P_Z) \\
 M(Y-Z)((n)) & \longrightarrow & M(Y)((n)) & \longrightarrow & M(Z)((d)) \xrightarrow{+1}
 \end{array}$$

The triangle on the bottom is obtained by tensoring the Gysin triangle of the pair (Y, Z) with $\mathbb{Z}(n)[2n]$. From Proposition 1.12, the first two of the vertical composite arrows are isomorphisms, so the last one is also an isomorphism.

If we put together (vertically) the two previous diagrams, we finally obtain the following isomorphism of triangles :

$$\begin{array}{ccccccc}
 M(U, V) & \longrightarrow & M(X, Y) & \longrightarrow & M(X, Y, Y') & \longrightarrow & M(U, V)[1] \\
 \mathfrak{p}_{(X-Y', Y-Z)} \downarrow & & \mathfrak{p}_{(X, Y)} \downarrow & & \downarrow (*) & & \downarrow \\
 M(Y-Z)((n)) & \xrightarrow{j^*} & M(Y)((n)) & \xrightarrow{j^*} & M(Z)((d)) & \xrightarrow{\partial_j} & M(Y-Z)((n))[1].
 \end{array}$$

We define $\mathfrak{p}_{(X, Y, Z)}$ as the morphism labeled $(*)$ in the previous diagram so that property (iii) follows from the construction. The functoriality property (i) follows easily from the functoriality of the deformation diagram.

The remaining relation

To conclude it only remains to prove the symmetry property (ii). First of all, we remark that the above construction implies immediately the commutativity of the following diagram :

$$\begin{array}{ccc}
 M\left(\frac{X/X-Y}{X-Y/X-Y \cup Y'}\right) & \longrightarrow & M\left(\frac{X/X-Y}{X-Z/X-Y}\right) \\
 & \searrow \mathfrak{p}_{(X, Y, Y')} & \swarrow \mathfrak{p}_{(X, Y, Z)} \\
 & M(Z)((d)), &
 \end{array}$$

where the horizontal map is induced by the evident open immersions.

Thus, it will be sufficient to prove the commutativity of the following diagram :

$$\begin{array}{ccc}
 M\left(\frac{X}{X-Z}\right) & \xrightarrow{\alpha_{X, Y, Z}} & M\left(\frac{X/X-Y}{X-Z/X-Y}\right) \\
 & \searrow \mathfrak{p}_{(X, Z)} & \swarrow \mathfrak{p}_{(X, Y, Z)} \\
 & M(Z)((n+m)), &
 \end{array}$$

where $\alpha_{X, Y, Z}$ denotes the canonical isomorphism.

From now on, we consider only the smooth triples (X, Y, Z) such that Z is a closed subscheme of Y . Using the functoriality of $\mathfrak{p}_{(X, Y, Z)}$, we remark that the diagram $(*)$ is natural with respect to morphisms $f : X' \rightarrow X$ which are transversal to Y and Z .

Consider the notations of the paragraph 1.11 and put $D_Z X = B_Z(\mathbb{A}_X^1)$ for short. We will expand these notations as follows :

$$D(X, Z) = D_Z X, \quad B(X, Z) = B_Z X, \quad P(X, Z) = P_Z X, \quad N(X, Z) = N_Z X.$$

To (X, Y, Z) , we associate the evident closed pair $(D_Z X, D_Z X|_Y)$ and the *double deformation space*

$$D(X, Y, Z) = D(D_Z X, D_Z X|_Y).$$

This scheme is in fact fibered over \mathbb{A}_k^2 . The fiber over $(1, 1)$ is X and the fiber over $(0, 0)$ is $B(B_Z X \cup P_Z X, B_Z X|_Y \cup P_Z X|_Y)$. In particular, the $(0, 0)$ -fiber contains the scheme $P(P_Z X, P_Z Y)$.

$$\text{We now put } \begin{cases} D = D(X, Y, Z), & R = P(R_Z X, R_Z Y) \\ D' = D(Y, Y, Z), & P = R_Z Y. \end{cases}$$

Remark also that $D(Z, Z, Z) = \mathbb{A}_Z^2$ and that $R = P \times_Z P'$ where $P' = P_Y X|_Z$.¹⁸ From the description of the fibers of D given above, we obtain a deformation diagram of smooth triples :

$$(X, Y, Z) \rightarrow (D, D', \mathbb{A}_Z^2) \leftarrow (R, P, Z).$$

Note that these morphisms are on the smaller closed subscheme the $(0, 0)$ -section and $(1, 1)$ -section of \mathbb{A}_Z^2 over Z , denoted respectively by s_0 and s_1 . Now we apply these morphisms to the diagram (*) in order to obtain the following commutative diagram :

$$\begin{array}{ccccc} M_Z(X) & \xrightarrow{\quad} & M_{\mathbb{A}_Z^2}(D) & \xleftarrow{\quad} & M_Z(R) \\ \downarrow \mathfrak{p}_{(X,Z)} & \searrow \alpha_{X,Y,Z} & \downarrow \mathfrak{p}_{(D,\mathbb{A}_Z^2)} & \searrow \alpha_{R,P,Z} & \downarrow \mathfrak{p}_{(R,Z)} \\ & M(X, Y, Z) & \xrightarrow{\quad} & M(D, D', \mathbb{A}_Z^2) & \xleftarrow{\quad} M(R, P, Z) \\ & \downarrow \mathfrak{p}_{(X,Y,Z)} & & \downarrow \mathfrak{p}_{(D,D',Z)} & \downarrow \mathfrak{p}_{(R,P,Z)} \\ M(Z)((n+m)) & \xrightarrow{s_{1*}} & M(\mathbb{A}_Z^2)((n+m)) & \xleftarrow{s_{0*}} & M(Z)((n+m)). \end{array}$$

One knows that every part of this diagram save the triangle ones are commutative. As the morphisms s_{1*} and s_{0*} are isomorphisms, the commutativity of the left triangle is equivalent to the commutativity of the right one.

Thus, we are reduced to the case of the smooth triple (R, P, Z) . Now, using the canonical split epimorphism $M(R) \rightarrow M_Z(R)$, we are reduced to prove the commutativity of the diagram :

$$\begin{array}{ccc} M(R) & \xrightarrow{\quad} & M\left(\frac{R/R-P}{R-Z/R-P}\right) \\ i^* \downarrow & & \downarrow \mathfrak{p}_{(R,P,Z)} \\ M(Z)((d)) & \xleftarrow{\quad} & \end{array}$$

where $i : Z \rightarrow R$ denotes the canonical closed immersion.

Using the property (iii) of the isomorphism $\mathfrak{p}_{(R,P,Z)}$, we are finally reduced to prove the commutativity of the triangle

$$\begin{array}{ccc} M(R) & \xrightarrow{j^*} & M(P)((n)) \\ i^* \downarrow & & \downarrow k^* \\ M(Z)((d)) & \xleftarrow{\quad} & \end{array}$$

where j and k are the evident closed embeddings. This is Lemma 1.33. \square

¹⁸The last property is equivalent to the identification: $N(N_Z X, N_Z Y) = N_Z Y \oplus N_Y X|_Z$.

As a corollary (take $j = i \circ l$, $k = 1_Z$), we get the functoriality of the Gysin morphism of a closed immersion :

Corollary 1.35. *Let $Z \xrightarrow{l} Y \xrightarrow{i} X$ be closed immersion between smooth schemes such that i is of pure codimension n .*

Then, $l^ \circ i^* = (i \circ l)^*$.*

As an illustration of the formulas obtained in the preceding theorem, we prove the following result:

Proposition 1.36. *Consider a smooth closed pair (X, Z) of codimension n and $\nu : Z \rightarrow X$ the corresponding immersion.*

Consider the canonical decompositions $Z = \sqcup_{i \in I} Z_i$ and $X = \sqcup_{j \in J} X_j$ into connected components. Put $\hat{Z}_j = Z \times_X X_j$. For any index $i \in I$, let $j \in J$ be the unique element such that $Z_i \subset X_j$; we let $\nu_i^j : Z_i \rightarrow X_j$ be the immersion induced by ν and we denote by Z'_i the unique scheme such that: $\hat{Z}_j = Z_i \sqcup Z'_i$.

Consider the following commutative diagram:

$$\begin{array}{ccccc} M(X) & \xrightarrow{\nu^*} & M(Z)((n)) & \xrightarrow{\partial_{X,Z}} & M(X-Z)[1] \\ \uparrow \sim & & \uparrow \sim & & \uparrow \sim \\ \oplus_{j \in J} M(X_j) & \xrightarrow{(\nu_{ji})_{j \in J, i \in I}} & \oplus_{i \in I} M(Z_i)((n)) & \xrightarrow{(\partial_{ij})_{i \in I, j \in J}} & \oplus_{j \in J} M(X_j - \hat{Z}_j)[1] \end{array}$$

where the vertical maps are the canonical isomorphisms.

Then, for any couple $(i, j) \in I \times J$,

- (1) if $Z_i \subset X_j$, $\nu_{ji} = (\nu_i^j)^*$ and $\partial_{ij} = \partial_{X_j - Z'_i, Z_i}$,
- (2) otherwise, $\nu_{ji} = 0$ and $\partial_{ij} = 0$.

Proof. We consider the following cartesian squares made of the evident immersions:

$$(1.36.a) \quad \begin{array}{ccc} \text{If } Z_i \subset X_j, & & \text{otherwise,} \\ \begin{array}{ccccc} Z_i & \xrightarrow{\nu_i^j} & X_j & \xleftarrow{\hat{z}_j} & \hat{Z}_j \leftarrow Z_i \\ \parallel & & \downarrow x_j & & \downarrow \nu_i^j \\ Z_i & \xrightarrow{\nu_i} & X & \xleftarrow{\nu} & Z \leftarrow Z_i \end{array} & & \begin{array}{ccccc} \emptyset & \longrightarrow & X_j & \xleftarrow{\hat{z}_j} & \hat{Z}_j \leftarrow \emptyset \\ \downarrow & & \downarrow x_j & & \downarrow \nu_i^j \\ Z_i & \xrightarrow{\nu_i} & X & \xleftarrow{\nu} & Z \leftarrow Z_i \end{array} \end{array}$$

We also consider the open and closed immersion $u_j : (X_j - \hat{Z}_j) \rightarrow (X - Z)$.

According to corollary 1.21, we obtain the following equalities:

$$\nu_{ji} = z_i^* \nu^* x_{j*}, \quad \partial_{i,j} = u_j^* \partial_{X,Z} z_{i*}.$$

Then the result follows from the following computations:

$$\begin{aligned} z_i^* \nu^* x_{j*} &\stackrel{(a)}{=} \nu_i^* x_{j*} \stackrel{(b)}{=} \begin{cases} (\nu_i^j)^* & \text{if } Z_i \subset X_j, \\ 0 & \text{otherwise.} \end{cases} \\ u_j^* \partial_{X,Z} z_{i*} &\stackrel{(c)}{=} \partial_{X_j, \hat{Z}_j} \hat{z}_j^* z_{i*} \stackrel{(d)}{=} \begin{cases} \partial_{X_j, \hat{Z}_j} (z_i^j)^* & \stackrel{(e)}{=} \partial_{X_j - Z'_i, Z_i} \text{ if } Z_i \subset X_j, \\ 0 & \text{otherwise.} \end{cases} \end{aligned}$$

We give the following justifications for each equality:

(a) : Corollary 1.35 ($\nu_i = \nu \circ z_i$).

(b) : Proposition 1.19 applied to the first square of the respective commutative diagram of (1.36.a) corresponding to the each respective case.

- (c) : Theorem 1.34 applied to the second cartesian square of (1.36.a).
- (d) : Proposition 1.19 applied to the third square of the respective commutative diagram of (1.36.a) corresponding to each respective case.
- (e) : Proposition 1.19. □

2. GYSIN MORPHISM

In this section, motives are considered in the category $DM_{gm}(k)$.

2.1. Construction.

2.1.1. Preliminaries.

Lemma 2.1. *Let X be a smooth scheme, P/X and Q/X be projective bundles of respective dimensions n and m . We consider λ_P (resp. λ_Q) the canonical dual line bundle on P (resp. Q) and λ'_P (resp. λ'_Q) its pullback on $P \times_X Q$. Let $p : P \times_X Q \rightarrow X$ be the canonical projection.*

Then, the morphism $\sigma : M(P \times_X Q) \rightarrow \bigoplus_{i,j} M(X)(i+j)[2(i+j)]$ given by the formula

$$\sigma = \sum_{0 \leq i \leq n, 0 \leq j \leq m} \mathbf{c}_1(\lambda'_P)^i \boxtimes \mathbf{c}_1(\lambda'_Q)^j \boxtimes p_*$$

is an isomorphism.

Proof. As σ is compatible with pullback, we can assume using property (MV) of Proposition 1.4 that P and Q are trivializable projective bundles. Using the invariance of σ under automorphisms of P or Q , we can assume that P and Q are trivial projective bundles. From the definition of σ , we are reduced to the case $X = \text{Spec}(k)$. Then, σ is just the tensor product of the two projective bundle isomorphisms (cf paragraph 1.7) for P and Q . □

The following proposition is the key point in the definition of the Gysin morphism for a projective morphism.

Proposition 2.2. *Let X be a smooth scheme, $p : P \rightarrow X$ be a projective bundle of rank n and $s : X \rightarrow P$ a section of p .*

Then, the composite map $M(X)((n)) \xrightarrow{\iota_n(P)} M(P) \xrightarrow{s^} M(X)((n))$ is the identity.¹⁹*

Proof. In this proof, we work in the category $DM_{gm}^{eff}(k)$.

Let $\eta_P(X)$ be the motivic fundamental class associated with s (see Definition 1.26). According to Lemma 1.29, we obtain: $s^* = \eta_P(X) \boxtimes p p_*$.

Let E/X be the vector bundle on X such that $P = \mathbb{P}(E)$. Let λ be the canonical dual line bundle on P . If we consider the line bundle $L = s^{-1}(\lambda^\vee)$ on X , the section s corresponds uniquely to a monomorphism $L \rightarrow E$ of vector bundles on P . We consider the following vector bundle on P :

$$F = \lambda \otimes p^{-1}(E/L).$$

Then the canonical morphism:

$$\lambda^\vee \rightarrow p^{-1}(E) \rightarrow p^{-1}(E/L)$$

¹⁹In fact, this result holds in the effective category $DM_{gm}^{eff}(k)$ as the proof will show.

made by the canonical inclusion and the canonical projection induces a section σ of F/P which is transversal to the zero section s_0^F of F/P and such that the following square is cartesian:

$$\begin{array}{ccc} X & \xrightarrow{s} & P \\ \downarrow & & \downarrow \sigma \\ P & \xrightarrow{s_0^F} & F. \end{array}$$

Thus, according to Lemma 1.30, we get: $\eta_P(X) = \mathfrak{c}_n(F)$.

The result now follows from the computation of the top Chern class $c_n(F)$ in $CH^n(P)$ and Lemma 1.8. \square

Remark 2.3. As a corollary, we obtain the following reinforcement of Proposition 1.12, more precisely of the normalization condition for the purity isomorphism :

Let X be a smooth scheme, P/X be a projective bundle of rank n , and $s : X \rightarrow P$ be a section of P/X . Then, the purity isomorphism $\mathfrak{p}_{(P,s(X))}$ is the inverse isomorphism of the composition

$$M(X)((n)) \xrightarrow{\mathfrak{l}_n(P)} M(P) \xrightarrow{(1)} M_{s(X)}(P)$$

where (1) is the canonical map.

2.1.2. Gysin morphism of a projection. The following definition will be a particular case of Definition 2.7.

Definition 2.4. Let X be a smooth scheme, P be a projective bundle of rank n over X and $p : P \rightarrow X$ be the canonical projection.

Using the notation of (1.7.b), we put:

$$p^* = \mathfrak{l}_n(P)(-n)[-2n] : M(X) \rightarrow M(P)(-n)[-2n]$$

and call it the Gysin morphism of p .

Lemma 2.5. Let P, Q be projective bundles over a smooth scheme X of respective ranks n, m . Consider the following projections :

$$\begin{array}{ccccc} & & q' & & P \\ & & \nearrow & & \searrow p \\ P \times_X Q & & & & X \\ & & \searrow p' & & \nearrow q \\ & & Q & & \end{array}$$

Then, the following diagram is commutative :

$$\begin{array}{ccccc} & & p^* & & M(P)((-m)) \\ & & \nearrow & & \searrow q'^* \\ M(X) & & & & M(P \times_X Q)((-n-m)) \\ & & \searrow q^* & & \nearrow p'^* \\ & & M(Q)((-n)) & & \end{array}$$

Proof. Indeed, using the compatibility of the motivic Chern class with pullback (cf 1.5), we see that both composite morphisms q'^*p^* and p'^*q^* are equal (up to twist and suspension) to the composite

$$M(X)((n+m)) \rightarrow \bigoplus_{i \leq n, j \leq m} M(X)((i+j)) \rightarrow M(P \times_X Q),$$

where the first arrow is the obvious split monomorphism and the second arrow is the inverse isomorphism to the one constructed in Lemma 2.1. \square

2.1.3. *General case.* The following lemma is all we need to finish the construction of the Gysin morphism of a projective morphism :

Lemma 2.6. *Consider a commutative diagram*

$$\begin{array}{ccccc} & & P & & \\ & i \nearrow & & p \searrow & \\ Y & & & & X \\ & j \searrow & & q \nearrow & \\ & & Q & & \end{array}$$

where X and Y are smooth schemes, i (resp. j) is a closed immersion of codimension $n + d$ (resp. $m + d$), P (resp. Q) is a projective bundle over X of dimension n (resp. m) with projection p (resp. q).

Then, the following diagram is commutative

$$(2.6.a) \quad \begin{array}{ccccc} & & M(P)((m)) & & \\ & p^* \nearrow & & i^* \searrow & \\ M(X)((n+m)) & & & & M(Y)((n+m+d)) \\ & q^* \searrow & & j^* \nearrow & \\ & & M(Q)((n)) & & \end{array}$$

Proof. Considering the diagonal embedding $Y \xrightarrow{(i,j)} P \times_X Q$, we divide diagram (2.6.a) into three parts:

$$\begin{array}{ccccc} & & M(P)((m)) & & \\ & p^* \nearrow & \downarrow p'^* & & i^* \searrow \\ M(X)((n+m)) & \xrightarrow{(1)} & M(P \times_X Q) & \xrightarrow{(i,j)^*} & M(Y)((n+m+d)) \\ & q^* \searrow & \uparrow q'^* & & j^* \nearrow \\ & & M(Q)((n)) & & \end{array}$$

The commutativity of part (1) is Lemma 2.5. The commutativity of part (2) and that of part (3) are equivalent to the case $X = Q$, $q = 1_X$ – and thus $m = 0$.

Assume we are in this case. We introduce the following morphisms where the square (*) is cartesian and γ is the graph of the X -morphism i :

$$\begin{array}{ccccc} & & P_Y & \xrightarrow{p'} & Y \\ & \gamma \nearrow & \downarrow j' & & \downarrow j \\ Y & & & (*) & \\ & i \searrow & P & \xrightarrow{p} & X \end{array}$$

Note that γ is a section of p' . Thus, Proposition 2.2 gives: $\gamma^* p'^* = 1$, and we reduce the commutativity of the diagram (2.6.a) to that of the following one:

$$\begin{array}{ccccc} & & M(P_Y)((d)) & \xleftarrow{p'^*} & M(Y)((n+d)) \\ & \gamma^* \nearrow & \uparrow j'^* & & \uparrow j^* \\ M(Y)((n+d)) & \xleftarrow{(4)} & M(P) & \xleftarrow{p^*} & M(X)((n)) \\ & i^* \searrow & & & \end{array}$$

Then commutativity of part (4) is Corollary 1.35 and that of part (5) follows from Lemma 1.32. \square

Let $f : Y \rightarrow X$ be a projective morphism between smooth schemes. Following the terminology of Fulton (see [Ful98, §6.6]), we say that f has codimension d if it can be factored into a closed immersion $Y \rightarrow P$ of codimension e followed by the projection $P \rightarrow X$ of a projective bundle of dimension $e - d$. In fact, the integer

d is uniquely determined (cf *loc.cit.* appendix B.7.6). Using the preceding lemma, we can finally introduce the general definition :

Definition 2.7. Let X, Y be smooth schemes and $f : Y \rightarrow X$ be a projective morphism of codimension d .

We define the Gysin morphism associated with f in $DM_{gm}(k)$

$$f^* : M(X) \rightarrow M(Y)((d))$$

by choosing a factorisation of f into $Y \xrightarrow{i} P \xrightarrow{p} X$ where i is a closed immersion of pure codimension $n + d$ and p is the projection of a projective bundle of rank n , and putting :

$$f^* = \left[M(X)((n)) \xrightarrow{i_n(P)} M(P) \xrightarrow{i^*} M(Y)((n + d)) \right]((-n)),$$

definition which does not depend upon the choices made according to the previous lemma.

Remark 2.8. In [Dég09, 3.11], we prove that the Gysin morphism of a projective morphism f induces the usual pushout on the part of motivic cohomology corresponding to Chow groups.

2.2. Properties.

2.2.1. Functoriality.

Proposition 2.9. Let X, Y, Z be smooth schemes and $Z \xrightarrow{g} Y \xrightarrow{f} X$ be projective morphisms of respective codimensions m and n .

Then, in $DM_{gm}(k)$, we get the equality : $g^* \circ f^* = (fg)^*$.

Proof. We first choose projective bundles P, Q over X , of respective dimensions s and t , fitting into the following diagram with $R = P \times_X Q$ and $Q_Y = Q \times_X Y$:

$$\begin{array}{ccccc} & & Q & & \\ & \nearrow j & \uparrow p' & \nwarrow q & \\ & R & & & \\ & \nwarrow i' & \nearrow q' & & \\ Q_Y & & P & & \\ \nwarrow k' & \nearrow q'' & \nwarrow i & \nearrow p & \\ Z & \xrightarrow{g} & Y & \xrightarrow{f} & X. \end{array}$$

The prime exponent of a symbol indicates that the morphism is deduced by base change from the morphism with the same symbol. We then have to prove that the following diagram of $DM_{gm}(k)$ commutes :

$$\begin{array}{ccccc} & & M(Q)((t)) & & \\ & \nearrow q^* & \downarrow p'^* & \nwarrow j^* & \\ (2) & & M(R)((s + t)) & & (3) \\ & \nwarrow q'^* & \nearrow i'^* & & \\ M(P)((s)) & & M(Q_Y)((n + t)) & & \\ \nwarrow i^* & \nearrow q''^* & & \nwarrow k^* & \\ M(X) & \xrightarrow{p^*} & M(Y)((n)) & \xrightarrow{f^*} & M(Z)((n + m)). \end{array}$$

The commutativity of part (1) is a corollary of Lemma 1.32, that of part (2) is Lemma 2.5 and that of part (3) follows from Lemma 2.6 and Corollary 1.35. \square

2.2.2. *Projection formula and excess of intersection.* From Definition 2.7 and Proposition 1.19 we directly obtain the following proposition :

Proposition 2.10. *Consider a cartesian square of smooth schemes*

$$(2.10.a) \quad \begin{array}{ccc} T & \xrightarrow{g} & Z \\ q \downarrow & & \downarrow p \\ Y & \xrightarrow{f} & X \end{array}$$

such that f and g are projective morphisms of the same codimensions.

Then, the relation $f^*p_* = q_*g^*$ holds in $DM_{gm}(k)$.

2.11. Consider now a cartesian square of shape (2.10.a) such that f (resp. g) is a projective morphism of codimension m (resp. n). Then $m \leq n$ and we call $e = n - m$ the *excess of dimension* attached with (2.10.a).

We can also associate with the above square a vector bundle ξ of rank e , called the *excess bundle*. Choose $Y \xrightarrow{i} P \xrightarrow{\pi} X$ a factorisation of f such that i is a closed immersion of codimension r and π is the projection of a projective bundle of dimension s . We consider the following cartesian squares:

$$\begin{array}{ccccc} T & \xrightarrow{i'} & Q & \xrightarrow{\pi'} & Z \\ q \downarrow & & \downarrow & & \downarrow p \\ Y & \xrightarrow{i} & P & \xrightarrow{\pi} & X \end{array}$$

Then N_TQ is a sub-vector bundle of $q^{-1}N_YP$ and we put $\xi = q^{-1}N_YP/N_TQ$. This definition is independent of the choice of P (see [Ful98], proof of prop. 6.6).

The following proposition is now a straightforward consequence of Definition 2.7 and the second case of Proposition 1.19 :

Proposition 2.12. *Consider the above notations.*

Then, the relation $f^*p_* = (\mathfrak{c}_e(\xi) \boxtimes q_*(m)) \circ g^*$ holds in $DM_{gm}(k)$.

2.2.3. *Compatibility with the Gysin triangle.*

Proposition 2.13. *Consider a topologically cartesian square of smooth schemes*

$$\begin{array}{ccc} T & \xrightarrow{j} & Y \\ g \downarrow & & \downarrow f \\ Z & \xrightarrow{i} & X \end{array}$$

such that f and g are projective morphisms, i and j are closed immersions. Put $U = X - Z$, $V = Y - T$ and let $h : V \rightarrow U$ be the projective morphism induced by f . Let n, m, p, q be respectively the relative codimensions of i, j, f, g .

Then the following diagram is commutative

$$\begin{array}{ccccccc} M(V)((p)) & \rightarrow & M(Y)((p)) & \xrightarrow{j^*} & M(T)((m+p)) & \xrightarrow{\partial_{Y,T}} & M(V)((p))[1] \\ h^* \uparrow & & f^* \uparrow & & \uparrow g^*((n)) & & \uparrow h^* \\ M(U) & \rightarrow & M(X) & \xrightarrow{i^*} & M(Z)((n)) & \xrightarrow{\partial_{X,Z}} & M(U)[1] \end{array}$$

where the two lines are the obvious Gysin triangles.

Proof. Use the definition of the Gysin morphism and apply Lemma 1.32, Theorem 1.34. \square

2.2.4. Gysin morphisms and transfers in the étale case.

2.14. In [Dég08b], paragraphs 1.1 and 1.2 we have introduced another Gysin morphism for a finite equidimensional morphism $f : Y \rightarrow X$. Indeed, the transpose of the graph of f gives a finite correspondence ${}^t f$ from X to Y which induces a morphism ${}^t f_* : M(X) \rightarrow M(Y)$ in $DM_{gm}(k)$.

Proposition 2.15. *Let X and Y be smooth schemes, and $f : Y \rightarrow X$ be an étale cover.*

Then, $f^ = {}^t f_*$.*

Proof. Consider the cartesian square of smooth schemes

$$\begin{array}{ccc} Y \times_X Y & \xrightarrow{g} & Y \\ f' \downarrow & & \downarrow f \\ Y & \xrightarrow{f} & X. \end{array}$$

We first prove that ${}^t f'_* f^* = g^* {}^t f_*$. Choose a factorisation $Y \xrightarrow{i} P \xrightarrow{\pi} X$ of f into a closed immersion and the projection of a projective bundle. The preceding square can be divided into two squares

$$\begin{array}{ccccc} Y \times_X Y & \xrightarrow{j} & P \times_X Y & \xrightarrow{q} & Y \\ f' \downarrow & & f'' \downarrow & & \downarrow f \\ Y & \xrightarrow{i} & P & \xrightarrow{\pi} & X. \end{array}$$

The assertion then follows from the commutativity of the following diagram.

$$\begin{array}{ccccc} M(Y \times_X Y) & \xleftarrow{j^*} & M(P \times_X Y) & \xleftarrow{q^*} & M(Y) \\ {}^t f'_* \uparrow & (1) & {}^t f''_* \uparrow & (2) & \uparrow {}^t f_* \\ M(Y) & \xleftarrow{i^*} & M(P) & \xleftarrow{p^*} & M(X) \end{array}$$

The commutativity of part (1) follows from [Dég08b], prop. 2.5.2 (case 1) and that of part (2) from [Dég08b], prop. 2.2.15 (case 3).

Then, considering the diagonal immersion $Y \xrightarrow{\delta} Y \times_X Y$, it suffices to prove in view of Proposition 2.9 that $\delta^* \circ {}^t f'_* = 1$. As Y/X is étale, Y is a connected component of $Y \times_X Y$. Thus, $M(Y)$ is a direct factor of $M(Y \times_X Y)$. Then, according to corollary 1.21, δ^* is the canonical projection on this direct factor. One can easily see that ${}^t f'_*$ is the canonical inclusion and this concludes. \square

2.3. Duality pairings, motive with compact support.

2.16. We first recall the abstract definition of duality in monoidal categories. Let \mathcal{C} be a symmetric monoidal category with product \otimes and unit $\mathbf{1}$. An object X of \mathcal{C} is said to be *strongly dualizable* if there exists an object X^* of \mathcal{C} and two maps

$$\eta : \mathbf{1} \rightarrow X^* \otimes X, \quad \epsilon : X \otimes X^* \rightarrow \mathbf{1}$$

such that the following diagrams commute:

$$\begin{array}{ccc} X & \xrightarrow{X \otimes \eta} & X \otimes X^* \otimes X \\ & \searrow 1_X & \downarrow \epsilon \otimes X \\ & & X \end{array} \qquad \begin{array}{ccc} X^* & \xrightarrow{\eta \otimes X^*} & X^* \otimes X \otimes X^* \\ & \searrow 1_{X^*} & \downarrow X^* \otimes \epsilon \\ & & X^* \end{array}$$

The object X^* is called a *strong dual* of X . For any objects Y and Z of \mathcal{C} , we then have a canonical bijection

$$\mathrm{Hom}_{\mathcal{C}}(Z \otimes X, Y) \simeq \mathrm{Hom}_{\mathcal{C}}(Z, X^* \otimes Y).$$

In other words, $X^* \otimes Y$ is the internal Hom of the pair (X, Y) for any Y . In particular, such a dual is unique up to a canonical isomorphism. If X^* is a strong dual of X , then X is a strong dual of X^* .

Suppose \mathcal{C} is a closed symmetric monoidal triangulated category. Denote by $\underline{\mathrm{Hom}}$ its internal Hom. For any objects X and Y of \mathcal{C} the evaluation map

$$X \otimes \underline{\mathrm{Hom}}(X, 1) \rightarrow 1$$

tensored with the identity of Y defines by adjunction a map

$$\underline{\mathrm{Hom}}(X, 1) \otimes Y \rightarrow \underline{\mathrm{Hom}}(X, Y).$$

The object X is strongly dualizable if and only if this map is an isomorphism for all objects Y in \mathcal{C} . In this case indeed, $X^* = \underline{\mathrm{Hom}}(X, 1)$.

2.17. Let X be a smooth projective k -scheme of pure dimension n and denote by $p : X \rightarrow \mathrm{Spec}(k)$ the canonical projection, $\delta : X \rightarrow X \times_k X$ the diagonal embedding. Then we can define morphisms

$$\begin{aligned} \eta : \mathbb{Z} &\xrightarrow{p^*} M(X)(-n)[-2n] \xrightarrow{\delta_*} M(X)(-n)[-2n] \otimes M(X) \\ \epsilon : M(X) \otimes M(X)(-n)[-2n] &\xrightarrow{\delta^*} M(X) \xrightarrow{p_*} \mathbb{Z}. \end{aligned}$$

One checks easily using the properties of the Gysin morphism these maps turn $M(X)(-n)[-2n]$ into the dual of $M(X)$. We thus have obtained :

Proposition 2.18. *Let X/k be a smooth projective scheme.*

Then the couple of morphisms (η, ϵ) defined above is a duality pairing. Thus $M(X)$ is strongly dualizable with dual $M(X)(-n)[-2n]$.

Remark 2.19. Using this duality in conjunction with the isomorphism (1.5.a), we obtain for smooth projective schemes X and Y , d being the dimension of Y , a canonical map:

$$\begin{aligned} CH^d(X \times Y) &\simeq \mathrm{Hom}_{DM_{gm}^{eff}(k)}(M(X) \otimes M(Y), \mathbb{Z}(d)[2d]) \\ &\rightarrow \mathrm{Hom}_{DM_{gm}(k)}(M(X) \otimes M(Y), \mathbb{Z}(d)[2d]) \\ &= \mathrm{Hom}_{DM_{gm}(k)}(M(X), M(Y)). \end{aligned}$$

As the isomorphism (1.5.a) is compatible with products and pullbacks, we check easily this defines a monoidal functor from Chow motives to mixed motives obtaining a new construction of the stable version of the functor which appears in [FSV00, chap. 5, 2.1.4]. Recall that the cancellation theorem of Voevodsky [Voe02] implies this is a full embedding.

Note the Gysin morphism $p^* : \mathbb{Z}(n)[2n] \rightarrow M(X)$ defines indeed a homological class η_X in $H_{2n,n}^{\mathcal{M}}(X) = \mathrm{Hom}_{DM_{gm}(k)}(\mathbb{Z}(n)[2n], M(X))$.

The duality above induces an isomorphism

$$H_{\mathcal{M}}^{p,q}(X) \rightarrow H_{p-2n,q-n}^{\mathcal{M}}(X)$$

which is by definition the cap-product by η_X . Thus our duality pairing implies the classical form of Poincaré duality and the class η_X is the fundamental class of X .

2.20. The last application of this section uses the stable version of the category of motivic complexes as defined in [CD09a, 7.15] and denoted by $DM(k)$. Remember it is a triangulated symmetric monoidal category. Moreover, there is a canonical monoidal fully faithful functor $DM_{gm}(k) \rightarrow DM(k)$ (see [CD09b, 10.1.4]). The idea of the following definition comes from [CD07, 2.6.3]:

Definition 2.21. Let X be a smooth scheme of dimension d .

We define the motive with compact support of X as the object of $DM(k)$

$$M^c(X) = \mathbf{R}\underline{\mathrm{Hom}}_{DM(k)}(M(X), \mathbb{Z}(d)[2d]).$$

This motive with compact support satisfies the following properties:

- (i) For any morphism $f : Y \rightarrow X$ of relative dimension n between smooth schemes, the usual functoriality of motives induces:

$$f^* : M^c(X)(n)[2n] \rightarrow M^c(Y).$$

- (ii) For any projective morphism $f : Y \rightarrow X$ between smooth schemes, the Gysin morphism of f induces:

$$f_* : M^c(Y) \rightarrow M^c(X).$$

- (iii) Let $i : Z \rightarrow X$ be a closed immersion between smooth schemes, and j the complementary open immersion. Then the Gysin triangle associated with (X, Z) induces a distinguished triangle:

$$M^c(Z) \xrightarrow{i_*} M^c(X) \xrightarrow{j^*} M^c(U) \xrightarrow{\partial'_{X,Z}} M^c(Z)[1].$$

- (iv) If X is a smooth k -scheme of relative dimension d , p its structural morphism and δ its diagonal embedding, the composite morphism

$$M(X) \otimes M(X) \xrightarrow{\delta^*} M(X)(d)[2d] \xrightarrow{p_*} \mathbb{Z}(d)[2d]$$

induces a map

$$\phi_X : M(X) \rightarrow M^c(X)$$

which is an isomorphism when X is projective (cf 2.18). Moreover, for any open immersion $j : U \rightarrow X$, $j^* \circ \phi_X \circ j_* = \phi_U$ (this follows easily from 2.10).

Remark 2.22. Note also that the formulas we have proved for the Gysin morphism or the Gysin triangle correspond to formulas involving the data (i), (ii) or (iii) of motives with compact support.

2.23. Consider a smooth scheme X of pure dimension d . According to Definition 2.21, as soon as $M(X)$ admits a strong dual $M(X)^\vee$ in $DM(k)$, we get a canonical isomorphism:

$$(2.23.a) \quad M^c(X) = M(X)^\vee(d)[2d].$$

The same remark can be applied if we work in $DM(k) \otimes \mathbb{Q}$. Recall that duality is known in the following cases (it follows for example from the main theorem of [Rio05]):

Proposition 2.24. Let X be a smooth scheme of dimension d .

- (1) Assume k admits resolution of singularities.
Then $M(X)$ is strongly dualizable in $DM_{gm}(k)$.
- (2) In any case, $M(X) \otimes \mathbb{Q}$ is strongly dualizable in $DM_{gm}(k) \otimes \mathbb{Q}$.

Recall that Voevodsky has defined a motive with compact support (even without the smoothness assumption). It satisfies all the properties listed above except that (i) and (iii) requires resolution of singularities. Then according to the preceding proposition and formula (2.23.a), our definition agrees with that of Voevodsky if resolution of singularities holds over k (apply [FSV00, chap. 5, th. 4.3.7]). This implies in particular that $M^c(X)$ is in $DM_{gm}(k)$ or, in the words of Voevodsky, it is *geometric*. Moreover, we know from the second case of the preceding proposition that $M^c(X) \otimes \mathbb{Q}$ is always geometric.

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